



AIRFLOA RAIL TECHNOLOGY LIMITED

(Formerly known as Airflow Equipments India Pvt Ltd)

Date: 08/01/2026

To,

BSE Limited
Department of Corporate Services,
Phiroze Jeejeebhoy Towers,
Dalal Street, Fort, Mumbai - 400001

Script Symbol: AIRFLOA
Scrip Code: 544516

Sub: Disclosure under Regulation 30(2) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with Clause-20 of Para A of Part A of Schedule III

Dear Sir / Madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Master Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 as amended, we wish to inform you that the company has received the adjudication order passed by the Registrar of Companies, Tamil Nadu (RoC) in respect of the *suo moto* adjudication application filed by the Company with RoC. The adjudication order (2 nos) dated 07/01/2026 pertains to delay in transfer of the unspent corporate social responsibility (CSR) obligation amount to the funds specified under Schedule-VII of the Companies Act, 2013.

The information required in terms of Regulation 30 of the (Listing Obligations and Disclosure Requirements) Regulations, 2015 read with SEBI Circular No. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2025/25 dated 25th February, 2025 and Industry Standards note on Regulation 30 of LODR Regulations, in respect of the adjudication order received pertaining to financial year 2020-21 and 2022-23 are given in 'Form A' under Annexure I & II.

I, the undersigned state and declare that the information and details provided in 'Form A' under Annexure I & II, in compliance with Regulation 30(13) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, is true, correct and complete to the best of my knowledge and belief.

Kindly acknowledge and take the same on records.

Thanking you,

Yours faithfully,
For Airfloa Rail Technology Limited

Haraprasad Rout
Company Secretary and Compliance Officer
Membership No.: A18749



Annexure I
FORM A

Disclosure by Airfloa Rail Technology Limited regarding receipt of communication from regulatory, statutory, enforcement or judicial authority under the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

[Regulation 30(13) – Disclosure of communication from regulatory, statutory, enforcement or judicial authority]

| Sr. No. | Particulars | Details |
|----------------|--|--|
| 01 | Name of the Listed Entity | Airfloa Rail Technology Limited |
| 02 | Type of communication received | Adjudication Order passed by Registrar of Companies (ROC) under Section 454 Of the Companies Act, 2013 (Act) for violation Of Section 135(7) of the Act |
| 03 | Date of receipt of communication | 07-01-2026 |
| 04 | Authority from whom communication received | The Registrar of Companies, Chennai, Tamil Nadu. |
| 05 | Brief summary of the material contents of the communication received, including reasons for receipt of the communication | <p>Order ID: PO/ADJ/12-2025/CN/01241 Dated 07/01/2026 passed by the Registrar of Companies, Chennai (ROC) in respect of the suo moto adjudication application filed by the company vide SRN N30641906 dated 08.05.2025 under Section 454 of the Companies Act, 2013 for violation of Section 135(5) and (6) of the Companies Act, 2013 pertaining to financial year 2019-20, 2020-21, 2021-22 and 2022-23.</p> <p>Since the Company failed to comply with the said requirement, the RoC has imposed a penalty of Rs. 19,64,272/- (which is equivalent to the unspent CSR obligation applicable for financial year 2022-23 transferred belatedly to the Prime Minister's National Relief Fund) on the company and Rs.1,96,427/- each on its Directors viz., Dakshinamoorthy Venkatesan (DIN:00232210) Dakshna Moorthy Manikandan (DIN:00232275) as officers in default for the violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013 The penalty amount to be paid within 90 days of receipt of the order.</p> |
| 06 | Period for which communication would be applicable, if stated | Financial year 2022-23 |
| 07 | Expected financial implications on the listed company, if any | In case the company is unable to get a waiver or reduction of the penalty imposed will affect the profitability of the company to the extent of the final penalty amount. |
| 08 | Details of any aberrations/non compliances identified by the authority in the communication | Violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013 |
| 09 | Details of any penalty or restriction or sanction imposed pursuant to the | RoC has imposed a penalty of Rs. 19,64,272/- on the company and Rs.1,96,427/- each on its Directors viz., |

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| | communication | Dakshinamoorthy Venkatesan (DIN:00232210) Dakshna Moorthy Manikandan (DIN:00232275) as officers in default for the violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013. The penalty amount to be paid within 90 days of receipt of the order. |
| <u>10</u> | Action(s) taken by listed company with respect to the communication | Based on assessment of facts the Company is of the view that the levy of maximum penalty without appreciating the fact that the company made good the non-compliance and sought adjudication suo-moto is unjustified as it deserves lenient treatment. The Company will file necessary appeal with the appellate authority viz., Regional Director by way of a compounding application under Section 441 of the companies Act, 2013 within the permissible timeline. |
| <u>11</u> | Any other relevant information | <u>NIL</u> |

FORM A**Disclosure by Airfloa Rail Technology Limited regarding receipt of communication from regulatory, statutory, enforcement or judicial authority under the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015***[Regulation 30(13) – Disclosure of communication from regulatory, statutory, enforcement or judicial authority]*

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| <u>03</u> | Date of receipt of communication | 07-01-2026 |
| <u>04</u> | Authority from whom communication received | The Registrar of Companies, Chennai, Tamil Nadu. |
| <u>05</u> | Brief summary of the material contents of the communication received, including reasons for receipt of the communication | <p>Order ID: PO/ADJ/12-2025/CN/01244 Dated 07/01/2026 passed by the Registrar of Companies, Chennai (ROC) in respect of the suo moto adjudication application filed by the company vide SRN N30641906 dated 08.05.2025 under Section 454 of the Companies Act, 2013 for violation of Section 135(5) and (6) of the Companies Act, 2013 pertaining to financial year 2019-20, 2020-21, 2021-22 and 2022-23.</p> <p>Since the Company failed to comply with the said requirement, the RoC has imposed a penalty of Rs. 25,99,631/- (which is equivalent to the unspent CSR obligation applicable for financial year 2020-21 transferred belatedly to the Prime Minister's National Relief Fund) on the company and Rs.Rs.2,00,000/- each on its Directors viz., Dakshinamoorthy Venkatesan (DIN:00232210) Dakshna Moorthy Manikandan (DIN:00232275) as officers in default for the violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013 The penalty amount to be paid within 90 days of receipt of the order.</p> |
| <u>06</u> | Period for which communication would be applicable, if stated | Financial year 2020-21 |
| <u>07</u> | Expected financial implications on the listed company, if any | In case the company is unable to get a waiver or reduction of the penalty imposed, it will affect the profitability of the company to the extent of the final penalty amount. |
| <u>08</u> | Details of any aberrations/non compliances identified by the authority in the communication | Violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013 |
| <u>09</u> | Details of any penalty or | RoC has imposed a penalty of Rs. Rs. |

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| | restriction or sanction imposed pursuant to the communication | 25,99,631/- on the company and Rs.2,00,000/- each on its Directors viz., Dakshinamoorthy Venkatesan (DIN:00232210) Dakshna Moorthy Manikandan (DIN:00232275) as officers in default for the violation of Section 135(5) and Section 135 (6) of the Companies Act, 2013 The penalty amount to be paid within 90 days of receipt of the order. |
| <u>10</u> | Action(s) taken by listed company with respect to the communication | Based on assessment of facts the Company is of the view that the levy of maximum penalty without appreciating the fact that the company made good the non-compliance and sought adjudication suo-moto is unjustified as it deserves lenient treatment. The Company will file necessary appeal with the appellate authority viz., Regional Director by way of a compounding application under Section 441 of the companies Act, 2013 within the permissible timeline. |
| <u>11</u> | Any other relevant information | <u>NIL</u> |

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Haraprasad Rout
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